

10-12-01

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# UTILITY PATENT APPLICATION TRANSMITTAL

## (Larg Entity)

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No.  
14991

Total Pages in this Submission

**TO THE ASSISTANT COMMISSIONER FOR PATENTS**Box Patent Application  
Washington, D.C. 20231

Transmitted herewith for filing under 35 U.S.C. 111(a) and 37 C.F.R. 1.53(b) is a new utility patent application for an invention entitled:

**PHYSIOLOGICAL TISSUE CLIPPING APPARATUS, CLIPPING METHOD AND CLIP UNIT MOUNTING METHOD**

and invented by:

**Koh Kimura, et al.**If a **CONTINUATION APPLICATION**, check appropriate box and supply the requisite information:☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: \_\_\_\_\_

Which is a:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: \_\_\_\_\_

Which is a:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: \_\_\_\_\_

Enclosed are:

**Application Elements**

1. ☒ Filing fee as calculated and transmitted as described below
2. ☒ Specification having 100 pages and including the following:
  - a. ☒ Descriptive Title of the Invention
  - b. ☒ Cross References to Related Applications (if applicable)
  - c. ☐ Statement Regarding Federally-sponsored Research/Development (if applicable)
  - d. ☐ Reference to Microfiche Appendix (if applicable)
  - e. ☒ Background of the Invention
  - f. ☒ Brief Summary of the Invention
  - g. ☒ Brief Description of the Drawings (if drawings filed)
  - h. ☒ Detailed Description
  - i. ☒ Claim(s) as Classified Below
  - j. ☒ Abstract of the Disclosure

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Total Pages in this Submission

3. ☒ Drawing(s) (when necessary as prescribed by 35 USC 113)

4. ☒ Oath or Declaration

- Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. 1.63(d)(2) and 1.33(b).

5. ☐ Incorporation By Reference (usable if Box 4b is checked)

The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

6. ☐ Computer Program in Microfiche (*Appendix*)

7. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all must be included)

- a. ☐ Paper Copy
- b. ☐ Computer Readable Copy (*identical to computer copy*)
- c. ☐ Statement Verifying Identical Paper and Computer Readable Copy

8. ☒ Assignment Papers (cover sheet & document(s))

9. ☐ 37 CFR 3.73(B) Statement (when there is an assignee)

10. ☐ English Translation Document (if applicable)

11. ☐ Information Disclosure Statement/PTO-1449 ☐ Copies of IDS Citations

12. ☐ Preliminary Amendment

13. ☒ Acknowledgment postcard

14. ☒ Certificate of Mailing

- ☐ First Class ☒ Express Mail (Specify Label No.): **EL913701925US**

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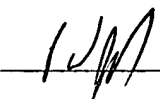
**Fee Calculation and Transmittal**

**CLAIMS AS FILED**

| For   | #Filed | #Allowed | #Extra | Rate      | Fee        |
|---|--------|----------|--------|-----------|------------|
| Total Claims  | 56     | - 20 =   | 36     | x \$18.00 | \$648.00   |
| Indep. Claims   | 17     | - 3 =    | 14     | x \$84.00 | \$1,176.00 |
| Multipl Dependent Claims (check if applicable) <input type="checkbox"/> |        |          |        |           | \$0.00     |
| BASIC FEE   |        |          |        |           | \$740.00   |
| OTHER FEE (specify purpose)   |        |          |        |           | \$0.00     |
| TOTAL FILING FEE  |        |          |        |           | \$2,564.00 |

- ☒ A check in the amount of \$2,564.00 to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge and credit Deposit Account No. 19-1013/SSMP as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of as filing fee.
- ☒ Credit any overpayment.
- ☐ Charge any additional filing fees required under 37 C.F.R. 1.16 and 1.17.
- ☐ Charge the issue fee set in 37 C.F.R. 1.18 at the mailing of the Notice of Allowance, pursuant to 37 C.F.R. 1.311(b).

Dated: October 11, 2001



Signature

Paul J. Esatto, Jr.  
Registration No.: 30,749

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cc:

**CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)**

Applicant(s): Koh Kimura, et al.

Docket No.

14991

Serial No.  
To be assignedFiling Date  
HerewithExaminer  
UnassignedGroup Art Unit  
UnassignedInvention: **PHYSIOLOGICAL TISSUE CLIPPING APPARATUS, CLIPPING METHOD AND CLIP UNIT MOUNTING METHOD**

I hereby certify that the following correspondence:

New Patent Application

*(Identify type of correspondence)*

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231 on

October 11, 2001*(Date)*Mishelle Mustafa*(Typed or Printed Name of Person Mailing Correspondence)*  
*(Signature of Person Mailing Correspondence)*EL913701925US*("Express Mail" Mailing Label Number)***Note: Each paper must have its own certificate of mailing.**